

## **PATENT APPLICATION**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Evaninan Nat Vat Agaianad
JIAWEI HU ET AL.	)	Examiner: Not Yet Assigned TC/Art Unit: 3713
Application No.: 10/609,419	)	TC/Art Onit: 3/13
Filed: July 1, 2003	)	
For: SUMMARISATION REPRESENTATION APPARATUS	; ;	April 26, 2004
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		

## <u>INFORMATION DISCLOSURE STATEMENT</u>

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed PTO-1449 form. Copies of the listed documents also are enclosed.

Additionally, the Examiner's attention is directed to the following U.S. application:

APPLICATION NO.	<b>FILING DATE</b>	TC/ART UNIT
10/797,107	March 11, 2004	NYA

A copy of the cited application, as originally filed, is enclosed.

It is respectfully requested that the enclosed documents be considered by the Examiner and that an initialed copy of the enclosed PTO-1449 form be returned indicating that the listed documents have been considered.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicants

Registration No.

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3801 Facsimile: (212) 218-2200

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if neighbors), 2004		ATTY DOCKET NO. APPLICATION NO. 10/609,419						
		APPLICANT JIAWEI HU ET AL.						
		FILING DATE July 1, 2003  GROUP 3713						
	& TRA	DEMARK	U.S. PATENT DOCUMENTS					
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	5,924,108	07/13/99	Fein et al.	707	531			
	2002/0184267 A1	12/05/02	Nakao	707	515			
		FC	DREIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		
	1 233 349 A2	08/21/02	EPO					
	0	THER DOCUMENT(S	6) (Including Author, Title, Date, Pertinent Pages, Etc.)	)				
I. Mani et al., "Multi-document Summarization by Graph Search and Matching", available at http://arxiv.org.PS_cache/cmp-lg/pdf/9712/9712004.pdf, Proceedings of the Fourteenth National Conference on Artificial Intelligence (AAAI'97), December 10, 1997, pp. 622-628.								
D. Lawrie et al., "Finding Topic Words for Hierarchical Summarization", SIGIR '01, September 9-12, 2001, New Orleans, LA, pp. 349-357 and presentation slides								
Roget's on-line thesaurus, available at http://humanities.uchicago.edu/orgs/ARTEL/forms_unrest/Roget.html.actual (date unknown)								
Swan, R., et al., "TimeMines: Constructing Timelines with Statistical Models of Word Usage", ACM SIGKDD 2000, Workshop on Text Mining, pp. 73-80, CIIR Technical Report IR-213, (2000) available at http://ciir.cs.umass.edu								
"NP Chunking", available at http://lcg-www.uia.ac.be/~erikt/research/np-chunking.html, September 13, 2002								
	I. Mani et al., "Automatic Summarization", Chapter 4, John Benjamin Publishing Company, 2001, pp. 77-89							
	S. Evert et al., "Methods for Qualitative Evaluation of Lexical Association Measures", Proceedings of the 39 <sup>th</sup> Annual Meeting of the Association for Computational Linguistics, Toulouse, France, 2001							
	D. Lin et al., presentation slides entitled "Collocation", Department of Computing Science, University of Alberta, February 5, 2002							
T. Dunning, "Accurate Methods for the Statistics of Surprise and Coincidence", Computational Linguistics 19(1), 1993, available at http://citeseer.nj.nec.com/dunning93accurate/html								
"The Festival Speech Synthesis System", Section 9.1 of the manual for that system, November 20, 2002, available at http://www.cstr.ed.ac.uk/projects/festival/manual/festival_9.html								
EXAMINER			DATE CONSIDERED	· ·				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)		ATTY DOCKET NO. APPLICATION NO. 10/609,419					
LIST	U.S. DEPARTMENT OF COMMER PATENT AND TRADEMARK OFF OF REFERENCES CITED BY APPL (Use several sheets if necessary	ICANT(S)	APPLICANT JIAWEI HU ET AL.	1	,		
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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	2003/0028558 A1	02/06/03	Kawatani	707	500		
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		F(	OREIGN PATENT DOCUMENTS	I			
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT	
		THE DOCUMENT	C) (laskeding Author Title Date Declined Days 51)				
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M.A. Hearst, "Multi-Paragraph Segmentation of Expository Text", 32 <sup>nd</sup> Annual Meeting of the Association for Computational Linguistics in 1994, available at http://citeseer.nj.nec.com/hearst94multiparagraph.html							
Armour, T., presentation slides entitled "Asymmetric Threat Initiative", 2000, available at http://www.darpa.mil/darpatech2000/presentations/iso.pdf/4armouratb&wrev1.pdf							
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